

SYNEXUMLABS · FINANCIAL SERVICES

Sovereign AI for Financial Advisory & Insurance Firms

Advisor productivity, compliance discipline,
and client experience — built on data your
firm actually controls.

Why we are having this conversation

- 01 Why AI adoption in an advisory or brokerage firm is different from generic enterprise AI.
- 02 What a live Advisor Intelligence layer looks like inside your firm.
- 03 Whether SynexumLabs is worth a 30-minute discovery call.

Two shapes of a modern advisory business

Wealth advisory firm

Licensed advisors constructing and rebalancing portfolios against each client's risk tolerance, horizon and sector preferences. Revenue tied to AUM and advisor productivity.

Insurance brokerage

Licensed representatives placing life, health, disability and P&C coverage. Revenue tied to policies bound, retained and re-underwritten.

What is common

Advisors are the constraint. Compliance is non-negotiable. Client experience is the differentiator. The back office is stretched thin.

Whichever shape your firm takes — the AI adoption challenge is the same.

The universal operational reality

Advisor time drained by admin

Licensed advisors spend 30–40% of their week on client-file maintenance, KYC forms, policy renewals and portfolio paperwork — not on relationships or new business.

12–16 hours lost per advisor, per week

Compliance risk sits everywhere

Suitability documentation, disclosure signing, recommendation trails and CE tracking are scattered across email, PDFs and CRM notes. One audit exposes the gaps.

Audit-flag exposure across every file

Client insight is stale

Portfolios and coverage are reviewed on an annual cycle. Between reviews, market moves, life events and rate changes go unnoticed — and unaddressed.

Retention windows missed between reviews

Every question routes to three people

Your senior advisor, compliance officer and ops lead are the bottleneck. Every advisor question, every escalation, every “can we do this?” lands on them.

Response times measured in days

What we build

A live, unified, secure intelligence layer above your firm's advisor data, client records, product catalogue and compliance trail — one place to see, ask and act.

Advisor-facing

Usable inside the workflow — not a separate portal to remember.

Compliance-native

Every decision the system supports leaves an audit trail.

Client-aware

Client context and history follow the advisor into every action.

Sovereign

Your firm's data, your firm's hardware, no third-party leakage.

The Advisor Intelligence Dashboard

Firm at a glance

AUM, policies in force, advisor productivity, retention.

Live alerts

KYC expiries, suitability thresholds, rebalance triggers, lapsing policies, CE hours.

Advisor intelligence

Every advisor's book, every client's next-best-action.

Ask pane

“Which clients hold over 200K and haven't been reviewed in 12 months?”

Illustrative — every deployment is fully custom.

How we make it live

Hours, not months.

01

Direct integrations

Your CRM, portfolio platform, custodian statements, insurance carriers and compliance archive — connected, not re-keyed.

02

Continuous reconciliation

Advisor productivity, client positions and compliance status refreshed hourly, not quarterly.

03

Change-triggered alerts

When a holding, a market indicator or a compliance requirement moves, the relevant advisor knows within minutes.

How we make it custom

Not templated. Not off-the-shelf.

Custom data model

Built around your product shelf, entity structure and advisor teams — not a generic CRM schema.

Custom taxonomy

Your language for client segments, portfolio models, policy types and internal codes.

Custom workflows

Advisor recommendation, team-lead review, compliance sign-off — in your firm's real sequence.

Custom access

Principals see everything, advisors see their book, compliance sees the audit surface, admin sees the queue.

How we make it automated

01

Lands

Custodian, carrier and CRM data arrives on its own.

02

Checks

Suitability, KYC and licence status reconcile themselves.

03

Drafts

Review packs, client summaries and reports write themselves.

04

Answers

Routine product and coverage questions, inside your environment.

05

Alerts

Only exceptions reach a person — routed with the context they need.

RUNS AGAIN — EVERY DAY, UNATTENDED

No re-keying, no reminders, no one starting the process.

Sovereignty-first data architecture

TIER	LAYER	WHAT LIVES THERE
 Tier 1	The Vault (local)	Client files, KYC, recommendations, compliance records
 Tier 2	Sanitised Pipeline	Identifying details stripped before any query leaves
 Tier 3	Managed Agent Layer	Suitability checks, CE tracking, renewals
 Tier 4	Public Research	Market data, benchmarks, macro — fully separate

Privacy is architectural, not promised.



SynexumLabs

What we are

A governed AI and automation firm building institutional-grade decision infrastructure.

Positioning

Strategy That Ships — the operating system for digital transformation.

Who we serve

Financial services, healthcare, government, enterprise and family offices.

How we work

Governed delivery, living systems architecture, modular cell development, institutional-grade sustainment.

Founded by principals from Coigne Capital Inc., with delivery leaders drawn from Al Jazeera Media Network, Deloitte and Oracle.

The people behind this engagement

SAP

Sergio A. Paier

Managing Partner · Founder, Coigne Capital Inc. Wealth strategy, cross-border governance and succession. CIRO-regulated advisor. MSc, HEC Montréal.

MA

Matt Ambrose

Director of Business Development · Founder, MA Consulting. Eighteen years in international trade across Asia and Latin America. Mexico City.

SP

Sourabh Purwar

Managing Partner · Co-founder, Happy People AI. Former Senior Consultant at Deloitte; prior Senior UX Researcher and international lead at Oracle. MBA.

AK

Amit Kumar

Managing Partner · Fractional CTO. Five years as Senior Technical Product Manager at Al Jazeera Media Network. MBA, CSPO.

SS

Sivakumar (Shiva) Swaminathan

Chief AI Transformation Officer · Founder, Kalkia Évolution IA. Panellist, Government of Canada AI consultation, 2026.

Combined 40+ years across AI, product engineering, cross-border finance and enterprise UX.

Proof we can deliver

85%

reduction in automation cycle time

99.2%

accuracy on automated decisions vs.
a 94% manual baseline

3.2×

throughput without adding
headcount

6 mo

typical ROI on institutional
engagements

Compliance reporting, regulated firm

A 40-hour weekly manual process became real-time and audit-trailed. 92% time reduction, zero compliance gaps.

Advisor workflow automation

Over 60% of admin tasks automated, manual coordination down 45%, zero new admin hires — advisors back with clients.

Why financial firms, why now

01

The audience is primed

Every principal of an advisory firm or brokerage has been told for two years that AI matters. Almost none have moved past experimentation — your competitors are in the same paralysis.

02

The architecture fits

Small teams, a regulated environment, personal client data, compliance exposure. Sovereign-first design is exactly what this profile demands, and generic AI vendors cannot offer it.

03

The window is now

The gap between firms that adopt deliberately and firms that experiment casually widens every quarter. Whoever builds the sovereign foundation first compounds the advantage.

What premium customization means

Not a product. An architecture built around one firm.

Discovery

We map your product shelf, advisor workflows, compliance obligations and data sources before any build begins.

Design

No two firms get the same dashboard, data model or agent workflow. What is shared is our discipline, not our output.

Delivery

Your cloud, your on-prem, your air-gapped environment. Your choice, our engineering.

Long-term partnership

Your monthly investment stays predictable while the system takes on more routine work every quarter.

Our engagement model

WEEK 0

WEEK 3

WEEK 7

WEEK 20+

Discover

Design

Build

Operate

Workflows, sources, obligations.

Data model, dashboard, agents.

First usable system in ~60 days.

Sustainment and expansion.

Discovery starts free — a needs and structural analysis you keep whether or not you proceed. Every phase after it ends in something you can open, read and use.

No black boxes. Visible progress at every phase.



Investment ranges

Free Discovery Engagement · Needs and Structural Analyses

FREE

A no-cost first pass. We map your firm's needs, current architecture, and the highest-value automation opportunities — before any paid engagement begins.

Discovery Engagement

\$5K – \$20K

Scoped by location and branches, firm size, number of advisors, and current system architecture. Delivers an architecture blueprint and prioritised roadmap.

Live Advisory Dashboard

\$60K – \$100K

Scoped by data source complexity and firm size. Covers compliance, auditing, dynamic insights, data intelligence, and workflow automations.

Premium

\$101K – \$250K

Additional custom automation, AI intelligence frameworks, bespoke client requirements, and strict data and compliance security protocols.

Ongoing sustainment: \$2.5K – \$7.5K per month, by system footprint and cadence of change.
All engagements are led by a named founding partner. No junior handoffs.

One 30-minute call is all we need

01

Book

Take the calendar link and choose a time — 30 to 45 minutes.

02

Discover

Our founding partners walk you through the process and ask meaningful questions about your firm.

03

Honest assessment

By the end of the call we tell you whether your firm is the right fit. If not, we close cleanly. If yes, we scope.

Not every firm is the right fit for what we build. That is how we protect the quality of every engagement — and yours.